

Vermont Department of Corrections



FY 2017 Budget Presentation

Agency of Human Services
Department of Corrections
Fiscal Year 2017 Governor's Budget Presentation
Table of Contents

<u>Document Description</u>	<u>Page Number</u>
Table of Contents	2
State Population Outcome and Indicator	3
FY 2017 DOC Performance Measures	7
Department of Corrections Expenditure History (2007 – 2016)	23
FY 2017 Budget Presentation Summary	26
FY 2017 Budget Development Forms (Ups and Downs Crosswalk)	29
FY2017 Department of Corrections – Objectives	34
FY2017 Program Profile	36
Mission, Description of Appropriations, Divisions & Programs	39



State of Vermont Population Outcome

Vermont's Communities are Safe and Supportive

Partners with a role to play:*

Department of Public Safety

Department of Corrections

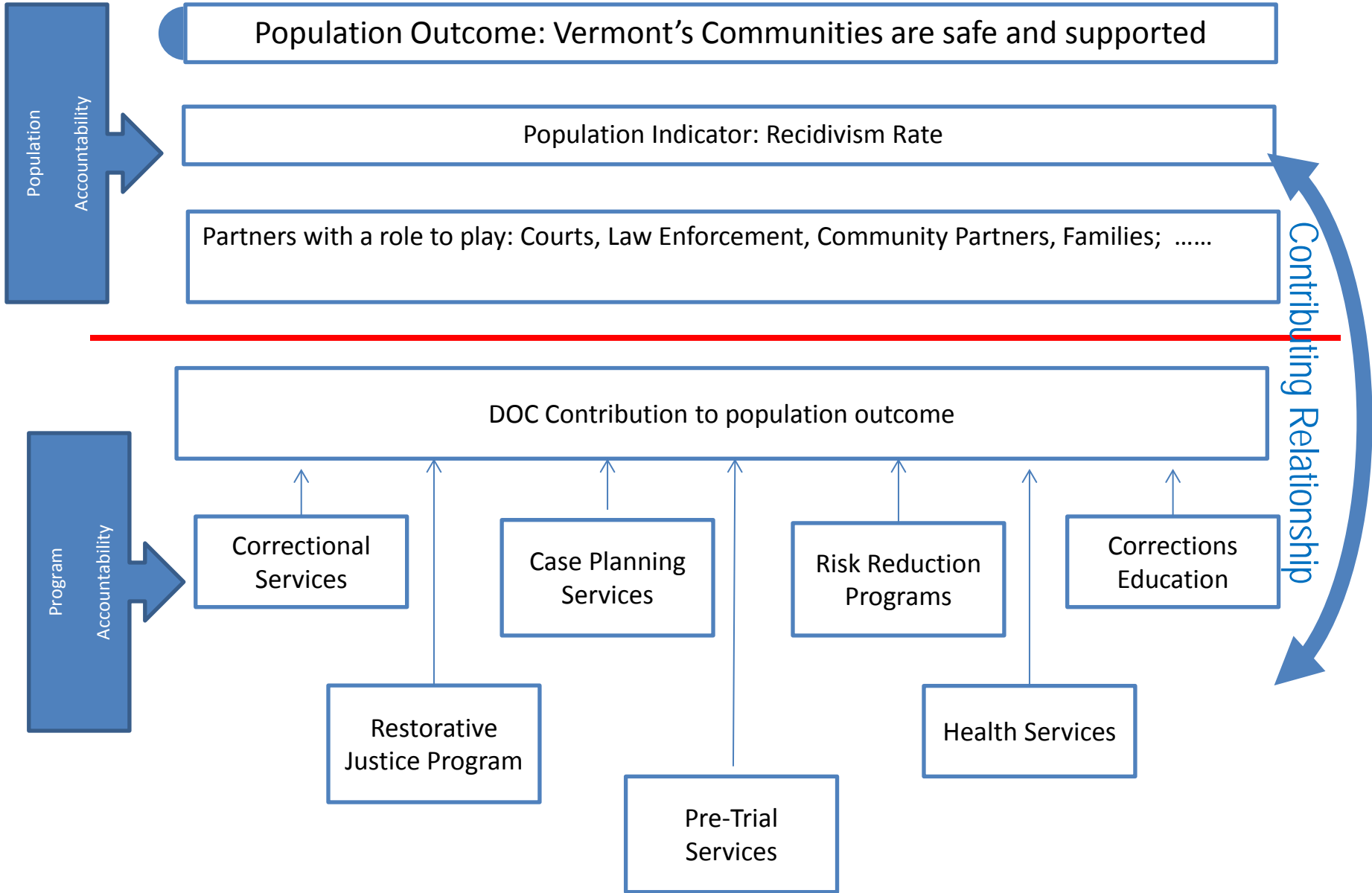
Judiciary

Agency of Commerce and Community Development

** This is the list of departments that are required by ACT 186 to report data under this outcome*



DOC contribution to the State Outcome



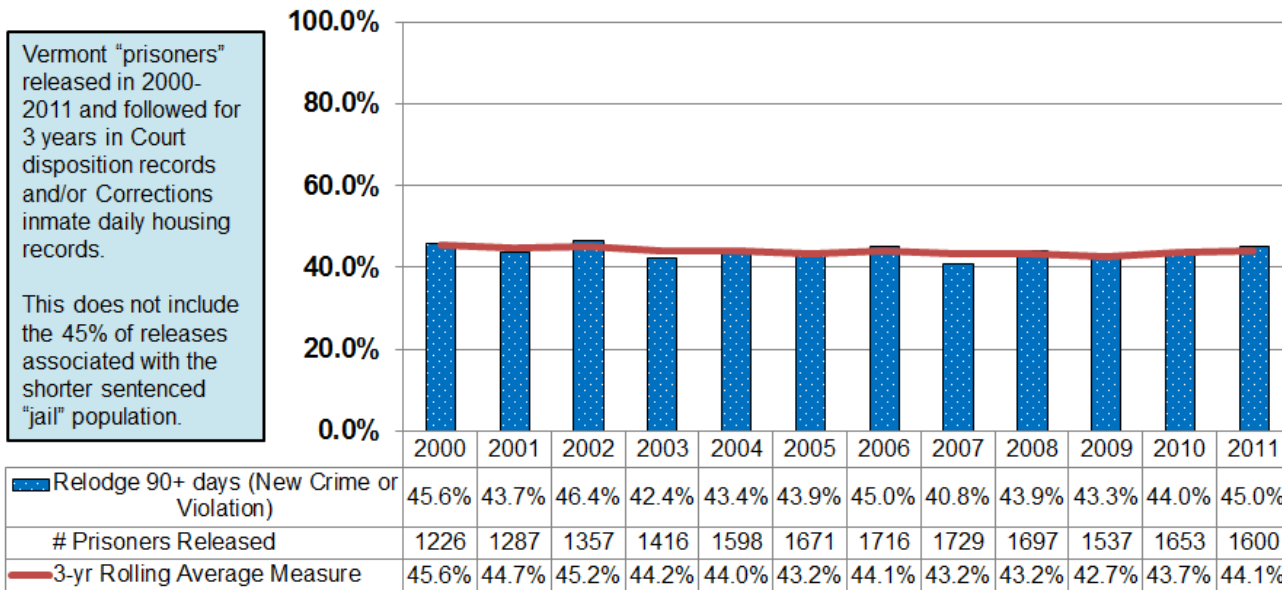
How are we doing?

Population Level Indicator

Indicator: Recidivism Rate

Prisoner Relodging - Statutory Measure

Relodging of Prisoners for 90+ Days for New Crime and/or Violation



Note: Definition for prisoner is a person sentenced to serve (maximum) more than one year (US Department of Justice, Bureau of Justice Statistics).

Citation- 2011 Act 41 Section 5: "The Department shall calculate the rate of recidivism based upon offenders who are sentenced to more than one year of incarceration who, after release from incarceration, return to prison within three years for a conviction for a new offense or a violation of supervision resulting, and the new incarceration sentence or time served on the violation is at least 90 days."



Performance Measures

Correctional Services: HOW MUCH?

Program : *Correctional Services*

Current Measures: How Much

How Much? Overview of Average Daily Population
(Field counts based on June 30th sample)

Measure	FY2005	FY2014	FY2015	% Change Past Decade	% Change Past Year
Persons Seen	13,558	10,439	9,869	-27.2%	-5.4%
Incarceration	2,000	2,111	2,026	.1%	-4.0%
Reentry	806	845	850	5.5%	0.6%
Intermediate Sanctions	842	1,081	1,052	24.9%	-2.7%
Parole	1,019	1,117	1,072	5.2%	-4.0%
Probation	8,891	5,285	4,869	-45.2%	-7.9%
Housed under OOS	452	498	416	-7.9%	-16.4%

Correctional Services: How Well?

Program : *Correctional Services*

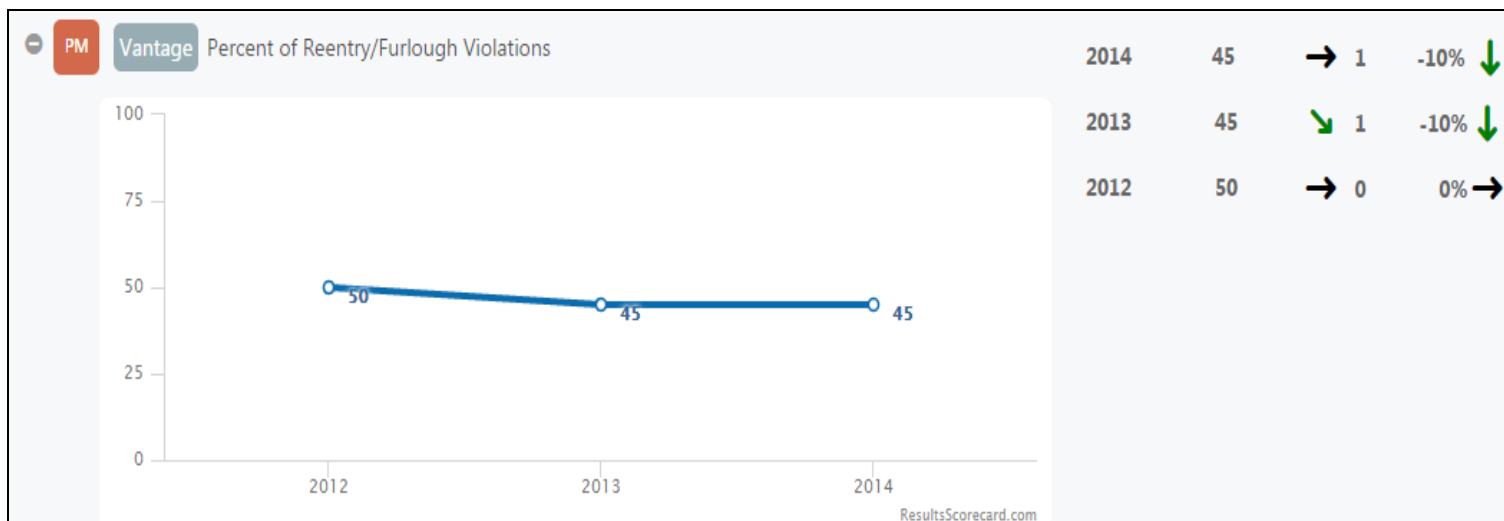
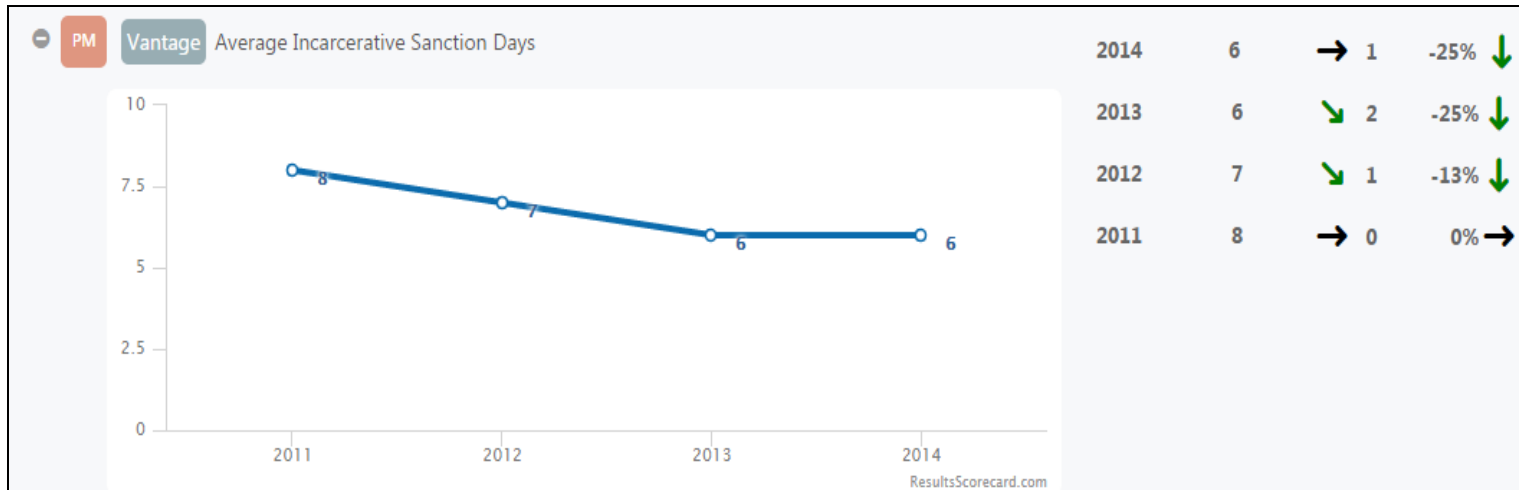
Current Measures: How Well

Incarceration - Disciplinary Reports					
Measure	FY2010	FY2014	FY2015	% Change Past Five Years	% Change Past Year
Inmates Housed in Vermont (Avg Daily)	1,579	1,620	1,578	-0.1%	-2.6%
Disciplinary Report	10,857	11,970	12,332	13.6%	3.0%
Major A	1,207	1,420	1,404	16.3%	-1.1%
Major B	2,804	3,443	3,280	17.0%	-4.7%
Minor	6,846	7,107	7,648	11.7%	7.6%
Assault on inmate	302	337	209	-30.8%	-38.0%
Assault on staff	51	93	102	100.0%	9.7%

Measure	FY2005	FY2014	FY2015	% Change Past Decade	% Change Past Year
Need to Replace - All DOC Employees	15.8%	11.9%	11.2%	-29.1%	-5.9%
Need to Replace- Correctional Officer I	38.4%	19.9%	9.0%	-76.6%	-54.8%
Need to Replace- Correctional Officer II	16.5%	7.4%	18.3%	10.9%	147.3%

Program : *Correctional Services*

Current Measures: How Well



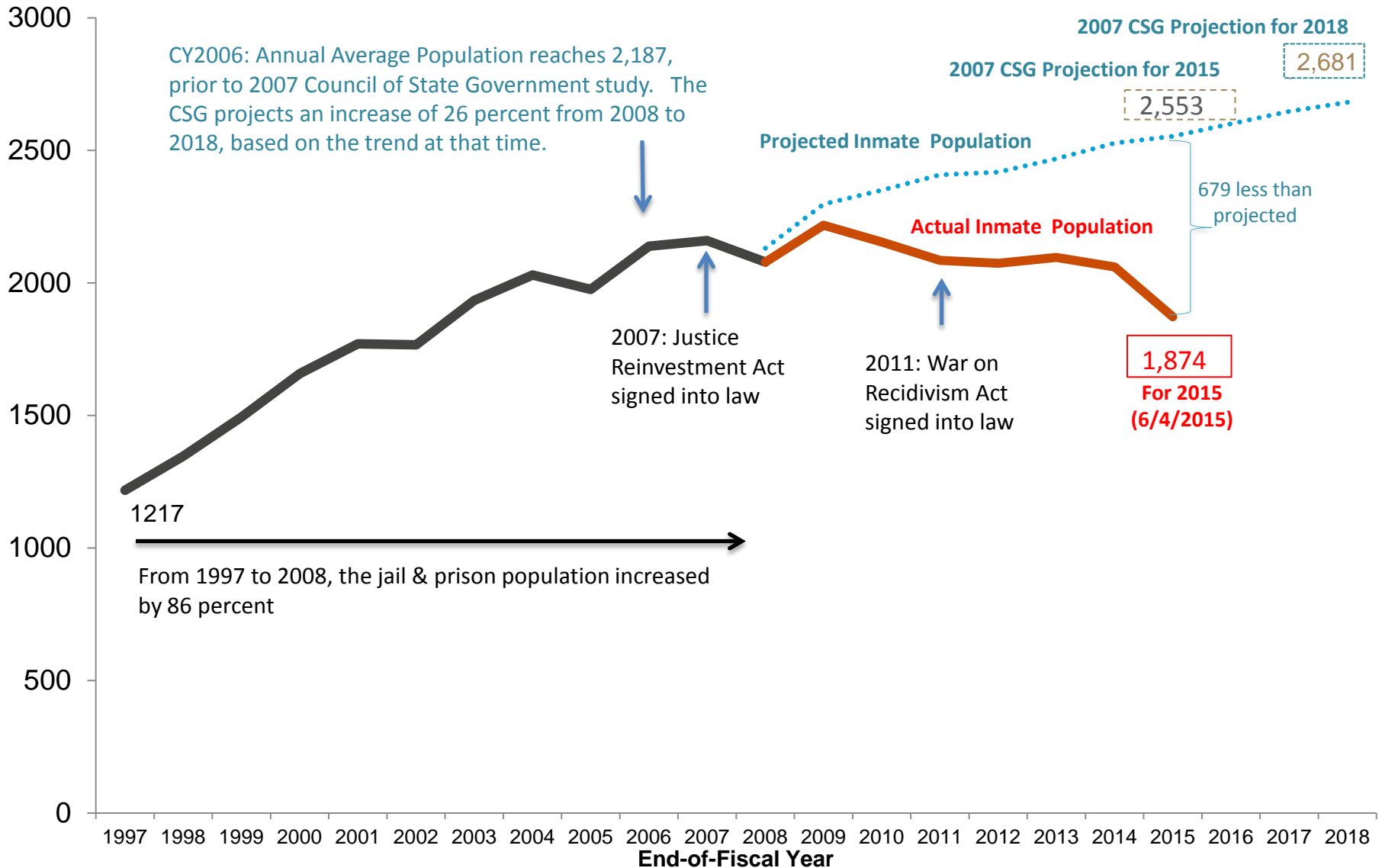
Correctional Services: Is anyone better off?

Program: *Correctional Services*

Current Measures: Better Off

Measure	FY2005	FY2014	FY2015	% Change Past Decade	% Change Past Year
Offenders Serving – Pre Min	1031 (52%)	864 (42%)	848 (45%)	-17.7%	-1.8%
Offenders Serving- Past Min	510	659	636	24.7%	-3.4%

Vermont Inmate Population



All years are census counts from June 30th at end of each fiscal year, except 2015 which is the census on June 4, 2015

Transitional Housing: HOW MUCH?

Program : *Restorative Justice Programs – Transitional Housing*

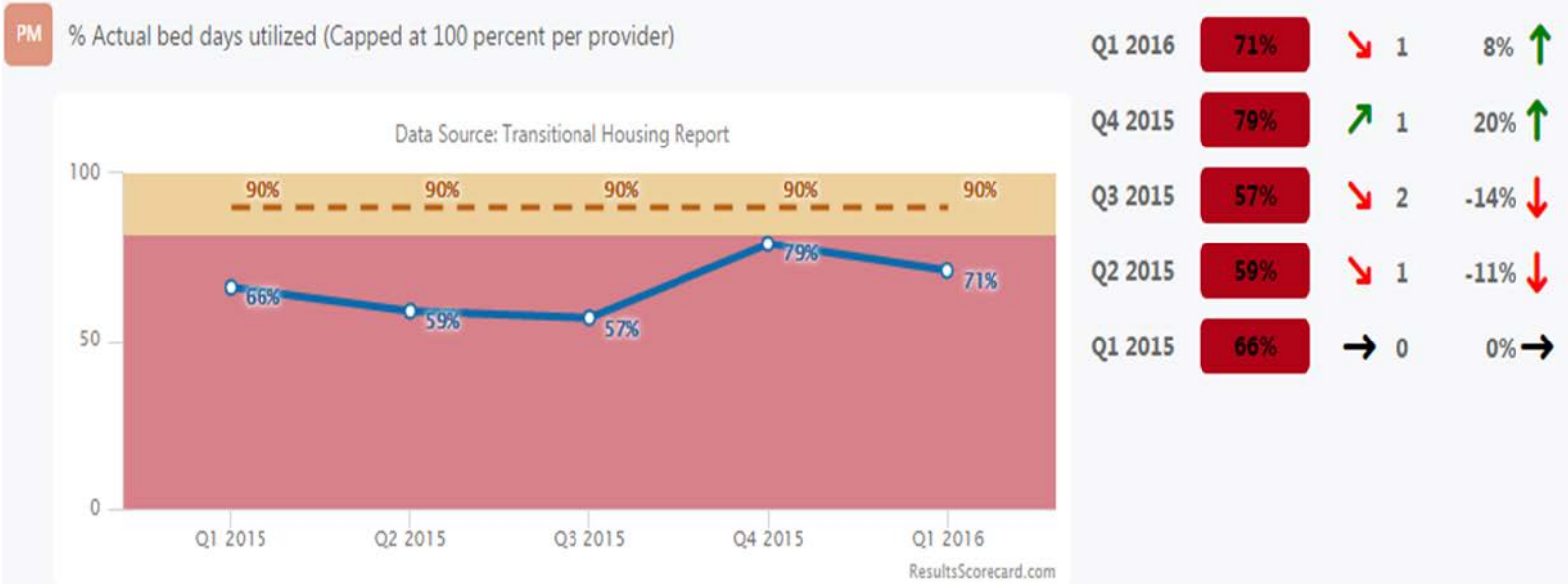
Current Measures: How much?

Transitional Housing					
Measure	FY2005	FY2014	FY2015	% Change Past Decade	% Change Past Year
Transitional Housing (bed-days)	---	66,061	78,342	---	+18.6%
Transitional Housing/ Number Served		1,070	1,046		-2.2%

Transitional Housing: How Well?

Program : *Restorative Justice Programs – Transitional Housing*

Current Measure: How Well?

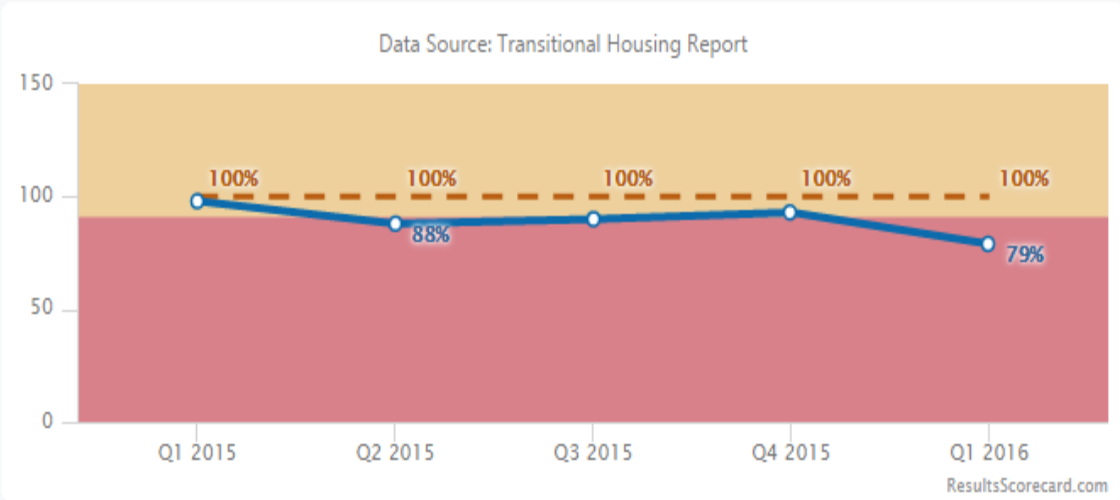


Transitional Housing: Is anyone better off?

Current Measure: Better off?




PM

% Successful completions that secured an independent living situation



Q1 2016	79%	↘ 1	-19%	↓
Q4 2015	93%	↗ 2	-5%	↓
Q3 2015	90%	↗ 1	-8%	↓
Q2 2015	88%	↘ 1	-10%	↓
Q1 2015	98%	→ 0	0%	→

Current Measure: Better off?

	Nov. 9, 2015	Nov. 30	Dec. 17	Jan. 6, 2016	Mar	Apr	May
# held past minimum sentence due to a lack of housing - B1 Code Only	161	173	153	131			
Baseline		7% 	12% 	14% 			



Expenditure History

AGENCY OF HUMAN SERVICES											
DEPARTMENT OF CORRECTIONS											
APPROPRIATION HISTORY											
2008 TO 2017											
									Appropriated	BAA	Requested
FUND TYPE	2008	2009	2010	2011	2012	2013	2014	2015	2016	2016	2017
General Fund	\$118,267,555	\$125,347,311	\$127,040,243	\$133,396,480	\$130,023,262	\$130,104,791	\$138,664,144	\$143,886,643	\$145,577,671	\$143,995,007	\$144,097,358
Transportation Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Federal Funds	\$686,861	\$584,861	\$584,861	\$170,962	\$170,962	\$470,962	\$470,962	\$470,962	\$470,962	\$470,962	\$470,962
All Other Funding Sources	\$8,832,369	\$9,079,667	\$10,025,728	\$10,652,268	\$11,814,606	\$13,628,587	\$13,329,178	\$13,182,734	\$12,754,180	\$13,085,959	\$12,416,052
Grand Total	\$127,786,785	\$135,011,839	\$137,650,832	\$144,219,710	\$142,008,830	\$144,204,340	\$152,464,284	\$157,540,339	\$158,802,813	\$157,551,928	\$156,984,372
Percent Change											
General Fund	9.00%	5.99%	1.35%	5.00%	-2.53%	0.06%	6.58%	3.77%	1.18%	0.08%	0.07%
Transportation Funds	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Federal Funds	-63.75%	-14.85%	0.00%	-70.77%	0.00%	175.48%	0.00%	0.00%	0.00%	0.00%	0.00%
All Other Funding Sources	7.86%	2.80%	10.42%	6.25%	10.91%	15.35%	-2.20%	-1.10%	-3.25%	-0.73%	-5.12%
Grand Total	7.76%	5.65%	1.95%	4.77%	-1.53%	1.55%	5.73%	3.33%	0.80%	0.01%	-0.36%
Rolling Five Year Average	10.58%	8.77%	7.89%	5.31%	3.72%	2.48%	2.49%	2.77%	1.99%	1.51%	2.05%
Rolling Three Year Average	10.62%	6.61%	5.12%	4.13%	1.73%	1.60%	1.91%	3.53%	3.32%	3.02%	0.99%

FY 2017 Budget Presentation

Summary

Ups & Downs

Agency of Human Services Department of Corrections Fiscal Year 2017 Governor's Budget Presentation

- **Total Budget Decrease of (\$1,885,325) or a 1.21% decrease from the SFY 16 As Passed Appropriation of \$155,276,687. (All Funding Sources)**
 - **Total General Fund Decrease: (\$1,547,197) or 1.09% decrease from SFY 16 As Passed Appropriation of \$142,051,545.**
 - **Totals above do not include the restoration of \$3,526,126.** This was a one-time appropriation in FY15, which is being restored in the FY17 base budget. This was provided to DOC in ACT 58 Sec. C. 104(b).
 - **Totals above do not include the restoration of \$66,884.** This was included in the June 17, 2015 Statement of Legislative Intent.

- **Pressures on the General Fund - Total: \$2,045,813 (includes one-time funding)**
 - **Replace the Use of One-Time Carry Forward Funds from FY15: \$3,526,126**
 - ✓ **Please note:** this is not included as an FY17 GF pressure, as it was appropriated in FY15 as one-time, with the specific direction to carry forward for FY16 needs, and with the intent of being restored in the FY17 base budget.
 - **Replace General Fund reduction, per Statement of Legislative Intent: \$66,884**
 - **Please note:** this is not included as an FY17 GF pressure, as it was included in the Statement of Legislative Intent to be restored in the FY17 base budget.

 - **Annualization of Pay Act and Related Benefits: \$4,197,130**

 - **Reductions:**
 - ✓ Closure of the Caledonia Community Work Camp – (\$2,514,263)
 - This is a net savings of \$1,048,058 (the corresponding up is in OOS appropriation), and includes the reduction of 22 staff
 - ✓ Eliminate 1 vacant position – (\$73,719)
 - ✓ Closure of CHSVT community field sites: (\$755,048)

Agency of Human Services Department of Corrections Fiscal Year 2017 Governor's Budget Presentation

- **Offender Management System, operating costs: \$251,250**
- **Rent Expenses: (\$274,786):**
 - ✓ Williston (Central Office) – (\$291,310)
 - ✓ Williston (IFS) – (\$2,561)
 - ✓ Property Management Surcharge - \$19,085
- **Facility Inflationary Pressures at CPI: Total \$533,252**
 - ✓ Water/Sewage - \$118,426
 - ✓ Facility Food - \$98,703
 - ✓ Restoration of Administrative Energy Reduction - \$166,123
- **Internal Service Funds: (\$597,988)**
 - ✓ Insurance Adjustments – Worker's Comp, General Liability, Auto Liability, Commercial: \$478,227
 - ✓ Human Resource Services Assessment: (\$168,115)
 - ✓ DII Services: \$197,624
 - ✓ VISION: (\$93,058)
 - ✓ Fee for Space: (\$1,012,666)
- **Out of State Beds: (\$969,951)**
 - ✓ Out of State Caseload Projected at 241 Beds (99 below FY16 base appropriation): (\$2,436,156)
 - ✓ Increased capacity for closure of CCWC (total increase of 56 beds to 297 beds): \$1,466,205
- **Administrative Adjustments: (\$844,062)**
 - ✓ Includes B.1103 (a) DII allocation, B.1103 (b) HR, B.1104 (a) DII allocation, B.1103 (c)(1) FFS Efficient use of Space, B.1103(c)(2) FFS Energy Efficiency, B.1103(c)(3) Fleet. B.1104 (a) BGS and VISION reduction, and Energy Savings Reduction
- **Retirement Incentives: (\$1,104,060)**
 - 11 general fund positions not refilled

Agency of Human Services
Department of Corrections
Fiscal Year 2017 Governor's Budget Presentation

- **Special/Internal Service/Interdepartmental Transfer Fund Adjustments: (\$338,128)**
 - All other funds – total decrease of \$338,128 (2.56% reduction)

 - Education: (\$444,962) – Education Fund
 - ✓ Closure of CHSVT community field sites: (\$755,048)
 - ✓ Retirement Incentive: (\$79,304)
 - ✓ Employee Salaries and Fringe Benefit Rate Base (Retirement, Health, Dental, LTD, Life, FICA/Medicare): \$389,390
 - Recreation: Employee Salaries and Fringe Benefit Rate Base (Retirement, Health, Dental, LTD, Life, FICA/Medicare): \$14,994
 - VOWP: Employee Salaries and Fringe Benefit Rate Base (Retirement, Health, Dental, LTD, Life, FICA/Medicare): \$91,840

FY17 Department Request - DOC

	GF	SF	State Health Care Res	IdptT	Internal Service	FF	VT Health Connect (Portion Funded By SHCRF)	Invmnt GCF	Total
Corrections - Administration - As Passed FY16	2,554,592								2,554,592
Personal Services:									
Operating:									
2015 Act 58 Sections B. 1103 and B. 1104	(2,740)								(2,740)
FY16 after other changes	(2,740)	0	0	0	0	0	0	0	(2,740)
Total after FY16 other changes	2,551,852	0	0	0	0	0	0	0	2,551,852
FY16 after other changes									
Personal Services:									
Salary and Fringe Increase	341,132								341,132
Retirement Incentive (BAA Item)	(70,872)								(70,872)
Operating Expenses:									
Grants:									
FY17 Changes	270,260	0	0	0	0	0	0	0	270,260
FY17 Gov Recommended	2,822,112	0	0	0	0	0	0	0	2,822,112
FY17 Legislative Changes									
FY17 Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0
FY17 As Passed - Dept ID 3480001000	2,822,112	0	0	0	0	0	0	0	2,822,112

Corrections - Parole Board - As Passed FY16	322,230									322,230
Operating Expenses:										
2015 Act 58 Sections B. 1103 and B. 1104	(229)									(229)
FY16 after other changes	(229)	0	0	0	0	0	0	0	0	(229)
Total after FY16 other changes	322,001	0	0	0	0	0	0	0	0	322,001
FY16 after other changes										
Personal Services:										
Salary and Fringe Increase	4,182									4,182
Operating Expenses:										
Property Management Surcharge	527									527
Grants:										
FY17 Changes	4,709	0	0	0	0	0	0	0	0	4,709
FY17 Gov Recommended	326,710	0	0	0	0	0	0	0	0	326,710
FY17 Legislative Changes										
FY17 Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0	0
FY17 As Passed - Dept ID 3480002000	326,710	0	0	0	0	0	0	0	0	326,710
Corrections - Correctional Educ - As Passed FY16										
Personal Services:										
FY16 after other changes	0	0	0	0	0	0	0	0	0	0
Total after FY16 other changes	0	3,554,425	0	228,484	0	0	0	0	0	3,782,909
FY16 after other changes										
Personal Services:										
Salary and Fringe Increase		389,390								389,390
Retirement Incentive (BAA Item)		(79,304)								(79,304)
Close CHSVT community field sites		(734,402)								(734,402)
Operating Expenses:										
Close CHSVT community field sites		(20,646)								(20,646)
Grants:										
FY17 Changes	0	(444,962)	0	0	0	0	0	0	0	(444,962)
FY17 Gov Recommended	0	3,109,463	0	228,484	0	0	0	0	0	3,337,947
FY17 Legislative Changes										
FY17 Legislative Changes	0	0	0	0	0	0	0	0	0	0
FY17 As Passed - Dept ID 3480003000	0	3,109,463	0	228,484	0	0	0	0	0	3,337,947

Correctional Services - As Passed FY16	131,165,662	483,963		396,315		470,962		5,387,869	137,904,771
Personal Services:									
2015 Act 58 Sections B. 1103 and B. 1104	(841,093)								(841,093)
									0
Operating Expenses:									
									0
FY16 after other changes	(841,093)	0	0	0	0	0	0	0	(841,093)
Total after FY16 other changes	130,324,569	483,963	0	396,315	0	470,962	0	5,387,869	137,063,678
FY16 after other changes									
Personal Services:									
Replace one-time funds carried forward from SFY15	3,526,126								3,526,126
Salary and Fringe Increase	3,851,816								3,851,816
Retirement Incentive (BAA Item)	(1,033,188)								(1,033,188)
Technical correction	66,884								66,884
Offender Management System operating costs, post-implementation	251,250								251,250
Eliminate one vacant position	(73,719)								(73,719)
Closure of the Caledonia Community Work Camp (CCWC)	(2,514,263)								(2,514,263)
Operating Expenses:									
Energy and Utilities CPI	166,123								166,123
Facility Food CPI	98,703								98,703
Water and Sewer (info. from towns)	118,426								118,426
Internal Service Fund (ISF) Insurance adjustments (include General Liability, Auto Liability, Commercial, and Property)	478,227								478,227
Lease savings	(293,871)								(293,871)
Property Management Surcharge	18,558								18,558
									0
Internal Service Funds:									0
ISF DHR	(168,115)								(168,115)
ISF DII Demand	246,427								246,427
ISF DII	(48,803)								(48,803)
ISF Fee for Space	(1,012,666)								(1,012,666)
ISF VISION	(93,058)								(93,058)
									0
Grants:									
									0
FY17 Changes	3,584,857	0	0	0	0	0	0	0	3,584,857
FY17 Gov Recommended	133,909,426	483,963	0	396,315	0	470,962	0	5,387,869	140,648,535
FY17 Legislative Changes									
FY17 Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0
FY17 As Passed - Dept ID 3480004000	133,909,426	483,963	0	396,315	0	470,962	0	5,387,869	140,648,535

Correctional Services - out-of-state beds - As Passed FY16	8,009,061									8,009,061
other changes:										0
										0
										0
FY16 after other changes	0	0	0	0	0	0	0	0	0	0
Total after FY16 other changes	8,009,061	0	0	0	0	0	0	0	0	8,009,061
FY16 after other changes										
Personal Services:										
Reduction from 340 beds to 241 beds	(2,436,156)									(2,436,156)
Additional 56 beds needed for CCWC proposal (total of 297 beds)	1,466,205									1,466,205
Operating expenses:										
										0
Grants:										
										0
FY17 Changes	(969,951)	0	0	0	0	0	0	0	0	(969,951)
FY17 Gov Recommended	7,039,110	0	0	0	0	0	0	0	0	7,039,110
FY17 Legislative Changes										
FY17 Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0	0
FY17 As Passed - Dept ID 3480006000	7,039,110	0	0	0	0	0	0	0	0	7,039,110
Corr Facilities - Recreation - As Passed FY16										
other changes:		886,929								886,929
										0
										0
										0
FY16 after other changes	0	0	0	0	0	0	0	0	0	0
Total after FY16 other changes	0	886,929	0	0	0	0	0	0	0	886,929
FY16 after other changes										
Personal Services:										
Salary and Fringe Increase		14,994								14,994
										0
Operating Expenses:										
										0
Grants:										
										0
FY17 Changes	0	14,994	0	0	0	0	0	0	0	14,994
FY17 Gov Recommended	0	901,923	0	0	0	0	0	0	0	901,923
FY17 Legislative Changes										
FY17 Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0	0
FY17 As Passed - Dept ID 3480005000	0	901,923	0	0	0	0	0	0	0	901,923

Corr.-Vermont Offender Work Program-As Passed FY16					1,816,195				1,816,195
other changes:									0
									0
									0
FY16 after other changes	0	0	0	0	0	0	0	0	0
Total after FY16 other changes	0	0	0	0	1,816,195	0	0	0	1,816,195
FY16 after other changes									
Personal Services:									
Salary and Fringe Increase					91,840				91,840
									0
Operating Expenses:									
									0
Grants:									
									0
FY17 Changes	0	0	0	0	91,840	0	0	0	91,840
FY17 Gov Recommended	0	0	0	0	1,908,035	0	0	0	1,908,035
FY17 Legislative Changes									
FY17 Subtotal of Legislative Changes	0	0	0	0	0	0	0	0	0
FY17 As Passed - Dept ID 3675001000	0	0	0	0	1,908,035	0	0	0	1,908,035

	GF	SF	State Health Care Res	IdptT	Internal Service	FF	VT Health Connect (Portion Funded By SHCRF)	Invmt GCF	Total
TOTAL FY16 DOC Big Bill As Passed	142,051,545	4,925,317	0	624,799	1,816,195	470,962	0	5,387,869	155,276,687
TOTAL FY16 DOC Reductions & other changes	(844,062)	0	0	0	0	0	0	0	(844,062)
TOTAL FY17 DOC Starting Point	141,207,483	4,925,317	0	624,799	1,816,195	470,962	0	5,387,869	154,432,625
TOTAL FY17 DOC ups & downs	2,889,875	(429,968)	0	0	91,840	0	0	0	2,551,747
TOTAL FY17 DOC Gov Recommended	144,097,358	4,495,349	0	624,799	1,908,035	470,962	0	5,387,869	156,984,372
TOTAL FY17 DOC Legislative Changes	0	0	0	0	0	0	0	0	0
TOTAL FY17 DOC As Passed	144,097,358	4,495,349	0	624,799	1,908,035	470,962	0	5,387,869	156,984,372

FY2017 Department of Corrections Objectives

- Continue implementation of multi –year efforts: EPICS, ORAS, Reentry
- Examine the role of Community Correctional Officer’s (CCO’s)
- Staff Capacity Building
- Enhance focus on accountability and effectiveness in achieving our goals
- Establish workgroup to evaluate the use of segregation and develop any recommendations for change

Required Forms

Vermont Department of Corrections

SFY2017 Department Program Profile

DEPARTMENT:	CORRECTIONS	GF \$\$	TF \$\$	Spec F (incl tobacco) \$\$	Fed F \$\$	All other funds \$\$	Total funds \$\$	Authorized positions	Amounts granted out
Administration									
	The administration of the Department consists of the Commissioner, Deputy Commissioner and supporting divisions of Finance, Planning and a Legal Division staffed by the Attorney General.								
FY 2015 expenditures		2,617,174	0	0	0	0	2,617,174	26	0
FY 2016 estimated expenditures		2,511,907	0	0	0	0	2,511,907	31	0
FY 2017 budget request		2,822,112	0	0	0	0	2,822,112	30	0
Parole Board									
	The board reviews recommendations made by the department to grant or revoke parole status, revoke or release offenders from Supervised Community Service (SCS) status, and also reviews requests for condition modifications, reprimands, and for early termination of parole. The Parole Board reviews all inmates in statutory reviews, and makes site visits to in-state facilities to perform parole hearings as required by law.								
FY 2015 expenditures		320,248	0	0	0	0	320,248	2	0
FY 2016 estimated expenditures		322,001	0	0	0	0	322,001	2	0
FY 2017 budget request		326,710	0	0	0	0	326,710	2	0
Education									
	The Community High School of Vermont (CHSVT) provides an accredited, coordinated and personalized education that assists students in their academic, social and vocational successes. Through education, students in the custody of the Vermont DOC obtain knowledge and job skills that they can utilize to become contributing member of their communities upon release. These skills support the reduction of recidivism and add to the economic health of our state.								
FY 2015 expenditures		0	0	3,880,355	0	99,547	3,979,902	52	0
FY 2016 estimated expenditures		0	0	3,886,204	0	228,484	4,114,688	52	0
FY 2017 budget request		0	0	3,109,463	0	228,484	3,337,947	34	0

Vermont Department of Corrections

SFY2017 Department Program Profile

Correctional Services-Facility Services									
	Incarceration services to the Vermont criminal justice system, in a range of secure confinement of violent and repeat offenders of the criminal law. There are eight correctional facilities in Vermont and additional inmates housed out of state.								
FY 2015 expenditures		68,350,757	0	12,360	0	0	68,363,117	652	0
FY 2016 estimated expenditures		68,081,635	0	10,781	0	0	68,092,416	652	0
FY 2017 budget request		68,395,589	0	10,781	0	0	68,406,370	628	0
Correctional Services-Field Services									
	Ten field offices, called Probation & Parole (or P&P) offices manage offenders in Vermont communities. The Field Services Division is responsible for the supervision of approximately 7,500 offenders in the community. There are fourteen different legal statuses. The legal statuses can be grouped as probation, parole, furlough, supervised community sentence, and home detention. Probation and Parole staff supervise individuals placed on probation by the Courts and those awarded parole by the Vermont Parole Board; individuals released from a correctional facility on furlough and supervised community sentence; individuals sentenced to a specified number of days on a work crew; and, individuals awaiting adjudication who are supervised in the community on home detention.								
FY 2015 expenditures		24,182,863		538,371	0	2,539,161	27,260,396	263	0
FY 2016 estimated expenditures		24,087,647		469,603	0	2,662,528	27,219,778	263	0
FY 2017 budget request		24,251,796		469,603	0	2,662,528	27,383,927	257	0
Correctional Services-Central Services									
	Central Services consists of multiple entities that deliver support to the entire department. These multiple divisions include the Vermont Corrections Academy, Human Resource Development (HRD), Community Justice (including Community Justice Centers, transitional housing, and victims services), Program Services, Health Services. and the offender classification unit.								
FY 2015 expenditures		41,144,759	0	4,103	664,649	2,977,015	44,790,526	52	9,510,834
FY 2016 estimated expenditures		40,982,757	0	3,579	970,962	3,121,656	45,078,954	52	9,719,018
FY 2017 budget request		41,262,041	0	3,579	470,962	3,121,656	44,858,238	49	9,872,638

Vermont Department of Corrections

SFY2017 Department Program Profile

Out-of-State Beds									
	Due to the current size of the sentenced and detainee populations in Vermont, additional space to house inmates is provided in correctional facilities operated by private companies outside of the state. The Out-of-State Unit (OOS) coordinates the classification and movement of appropriate offenders between Vermont DOC facilities and out of state facilities located in Kentucky and Alabama.								
FY 2015 expenditures		10,859,406	0	0	0	0	10,859,406	0	0
FY 2016 estimated expenditures		8,009,061	0	0	0	0	8,009,061	0	0
FY 2017 budget request		7,039,110	0	0	0	0	7,039,110	0	0
Correctional Facilities Recreation									
	The recreation program is managed by both the Department of Corrections and inmate recreation committee to provide for inmate recreational opportunities and the development of pro-social behavior.								
FY 2015 expenditures		0	0	855,239	0	0	855,239	7	0
FY 2016 estimated expenditures		0	0	886,929	0	0	886,929	7	0
FY 2017 budget request		0	0	901,923	0	0	901,923	7	0
Vermont Offender Work Programs (VOWP)									
	The Vermont Offender Work Programs (VOWP) is made of of Vermont Correctional Industries (VCI), Community Restitution Service Units (CRSU's) and Correctional Facility Work Camps. These programs help prepare offenders to become contributing citizens upon their return to the community.								
FY 2015 expenditures		0	0	0	0	1,553,426	1,553,426	14	0
FY 2016 estimated expenditures		0	0	0	0	1,816,195	1,816,195	14	0
FY 2017 budget request		0	0	0	0	1,908,035	1,908,035	14	0
Total Department									
	FY 2015 expenditures	147,475,207	0	5,290,427	664,649	7,169,150	160,599,433	1,068	9,510,834
	FY 2016 estimated expenditures	143,995,008	0	5,257,096	970,962	7,828,863	158,051,929	1,073	9,719,018
	FY 2017 budget request	144,097,358	0	4,495,349	470,962	7,920,703	156,984,372	1,021	9,872,638

Vermont Department of Corrections

SFY 2017 Governor's Budget

Mission, Description of Appropriations, Divisions and Programs & Key Budget Issues

Mission Statement:

In partnership with the community, we support safe communities by providing leadership in crime prevention, repairing the harm done, addressing the needs of crime victims, ensuring accountability for criminal acts, and managing the risk posed by offenders.

The vision statement for the Department states it more broadly: To be valued by the citizens of Vermont as a partner in the prevention, research, control and treatment of criminal behavior.

Description of Appropriations, Divisions and Programs:

ADMINISTRATION

The Department of Corrections has five basic goals: to involve the community; to address the needs of victims; to restore the community; to ensure that offenders are held responsible; and to keep offenders safely in custody.

The administration of the Department consists of the commissioner, deputy commissioner, administrative services director, financial director and the supporting division of finance, as well as a legal division staffed by the attorney general. Information technology support is provided at the agency level overseen by DOC personnel.

PAROLE BOARD

The Parole Board is an autonomous body that is included in the Corrections appropriation for administrative purposes. The board reviews recommendations made by the department to grant or revoke parole status, revoke or release offenders from Supervised Community Service (SCS) status, and also reviews requests for condition modifications, reprimands, and for early termination of parole. The Parole Board reviews all inmates in statutory reviews, and makes site visits to in-state facilities to perform parole hearings as required by law.

EDUCATION

The purpose of the Community High School of Vermont (CHSVT) is to provide an accredited, coordinated and personalized education that assists students in their academic, social, and vocational successes. Through education, students in the custody of the Vermont DOC obtain knowledge and job skills that they can utilize to become contributing members of their communities upon their release. These skills support the reduction of recidivism and add to the economic health of our state.

The Community High School of Vermont awarded 54 High School Diplomas, 461 Industry Approved Trade Certifications, and 15 Workforce Readiness Certifications during Fiscal Year 2015.

CORRECTIONAL SERVICES

In complying with the principle that placement of offenders should be in the least restrictive environment consistent with public safety and offense severity, the Department implements a gradient of restrictions and freedoms. The “legal status” is a frequently used term which relates to this concept. From most restrictive (and most expensive to implement) to least, these legal statuses are:

Incarceration:

Sentenced: The sentence is confinement to a correctional facility, under the care and custody of the Commissioner.

Detained: A confinement pending court adjudication for a criminal charge or a violation of probation.

Home Detention: A program of confinement and supervision that restricts an unsentenced defendant to a pre-approved residence continuously, except for authorized absences, and is enforced by appropriate means of surveillance and electronic monitoring by the Department of Corrections.

Home Confinement: A type of Pre-Approved Furlough, that is determined either by the court at sentencing or the Commissioner of Corrections, that restricts the offender to a pre-approved place of residence continuously, except for authorized absences, enforced by appropriate means of supervision, including electronic monitoring and other conditions.

Reentry/Furlough:

Conditional Reentry: At the completion of the minimum term of sentence, the inmate may be released to the community, still under confinement, subject to conditions of furlough.

Reintegration Furlough Reentry: Up to 180 days prior to completion of the minimum term of sentence, the eligible inmate may be released to the community, still under confinement, subject to conditions of furlough.

Treatment Furlough: At status for an offender who is participating in an approved residential treatment program outside of a correction facility.

Medical Furlough: A status for an offender who is diagnosed with a terminal or debilitating condition. The offender may be released to a hospital, hospice, or other licensed inpatient facility, or other housing accommodation deemed suitable by the commissioner.

Intermediate Sanctions:

Pre-approved Furlough: The offender is sentenced to a term of confinement, but with prior approval of the Commissioner, is eligible for immediate release on furlough. Furlough status is a community placement, but the revocation is administrative, and the rules for behavior more stringent. Conditions of release typically contain treatment or community work crew. The offender is given a minimum and maximum sentence, and when the offender reaches their minimum sentence they are eligible for parole.

Supervised Community Sentence: Based on a law passed in 1990 that provides the legal framework for the intermediate sanctions program. The judge sentences, with prior approval of the Commissioner, to a set of conditions, minimum and maximum time frames and an intermediate sanctions program. The offender is under the supervision of the Department of Corrections. The Parole Board is the appointed authority and violations are resolved through a Parole Hearing. When the offender reaches his minimum sentence the Parole Board may continue on SCS, convert to Parole, or discharge from supervision completely.

Parole: The release of an inmate to the community before the end of the inmate's sentence subject to conditions imposed by the Parole Board and subject the supervision and control of the Commissioner of Corrections.

Probation: An offender found guilty of a crime upon verdict or plea, is released by the court without confinement, subject to the conditions and supervision by the Commissioner of Corrections. This is a contract between the offender and the court, to abide by conditions in return for the court not imposing a sentence of confinement. Violation of this sanction requires due process, with a court hearing, counsel, and preponderance of evidence. Within the probation sanction is the reparative probation program, which allows citizens on community panels to determine the quality of restitution made to the victim and repair of harm to the community, consistent with 28 VSA Chapter 12.

PROGRAMS:

Correctional Facilities:

Central Correctional Facilities: Northern State [Newport], Northwest State [Swanton] and Southern State [Springfield] hold and treat violent and sexual offenders serving long sentences.

Regional Correctional Facilities: Northeast Regional [St. Johnsbury] and Marble Valley Regional [Rutland] serve courts and law enforcement agencies by providing detention, classification and short sentence housing.

Work Camp: The Caledonia Community Work Camp [St. Johnsbury] and the Windsor Community Work Camp [Windsor] provides restorative opportunities for appropriate offenders working in the community.

Facilities for Female Offenders: Chittenden Regional Correctional Facility [South Burlington] provides incarceration for women offenders.

Program Services:

Program services combines the research on evidence based programming with correctional best practice to provide a range of programs and services that address crime-related need areas (criminogenic needs) and lower the likelihood of recidivism or further criminal conduct by the offender. Programs primarily address criminal thinking and anti-social personality traits. Programs also address substance abuse, sexual aggression, general violence, domestic violence, cognitive skill deficits, and other relevant areas.

Risk Reduction Programming is offered to those offenders who score in the moderate to high range on general risk assessment tools or violence/sex offense specific risk assessment tools. Risk reduction programming may be offered to those offenders who score in the low range on general risk assessment tools but whose risk of harm scores are moderate or above. There is significant evidence that demonstrates the distinction between low risk and moderate to high risk offenders. With this in mind, the Department uses its resources wisely and efficiently to target those offenders who are most likely to commit another crime.

Offenders are entitled to standards of care such as nutrition, sanitation, recreation, education, religion, safety, medical, dental, and mental health care. More detailed data is presented in the Department's annual report on statistics and outcomes.

Field Services:

The Vermont Department of Corrections has 10 field offices across the state, called Probation and Parole (or just "P&P") offices. Through time, they have become more complex than the name suggests. The Field Services Division is responsible for the supervision of approximately 7,500 offenders in the community. There are fourteen different legal statuses. The legal statuses can be grouped as probation, parole, furlough, supervised community sentence, and home detention. Probation and Parole staff supervise individuals placed on probation by the Courts and those awarded parole by the Vermont Parole Board; individuals released from a correctional facility on furlough and supervised community sentence; individuals sentenced to a specified number of days on a work crew; and, individuals awaiting adjudication who are supervised in the community on home detention.

It is the responsibility of the Field Services Division to provide the most effective community supervision of offenders based on best practices research and available resources. Supervision intensity and duration is based on the offender's risk to re-offend, the severity of the offense, and the offender's legal status. The foundations of effective supervision are quality risk assessments and the application of appropriate supervision services.

P&P staff operates with a dual mission; public safety and offender rehabilitation that is carried out through the supervision of offenders. Supervision combines the use of risk

control and risk reduction strategies that are vital in order to have positive outcomes for offenders under community supervision.

Risk control strategies are directed at deterring future non-compliance by holding offenders accountable through reprimands, warnings, loss of privileges, and, when appropriate, the imposition of more intrusive/restrictive requirements and sanctions, that could include incarceration.

Risk reduction strategies are directed at promoting future compliance by assisting the offender through information, education/training, counseling, and/or treatment to bring about positive changes in the circumstances that led to their criminal behavior.

The implementation of purposeful interventions and activities is what distinguishes supervision from mere monitoring and reporting of offender activities. Research has demonstrated that, to reduce offender recidivism and obtain positive results from community supervision, combining risk control and risk reduction strategies is far more effective than selecting one strategy over the other.

Throughout everything that it does, the Field Services Division balances a variety of competing interests. In a P&P office, public safety is always a priority, as is offender growth, positive change, and acceptance of personal responsibility. We accomplish this by using the least restrictive supervision practices, consistent with community, victim, and offender safety.

There are currently a total of 20 Community Justice partnerships that operate 82 reparative boards in 32 host communities across the State. In the last year, over 650 citizen volunteers participated in restorative accountability processes for 391 offenders under DOC supervision and for another 1,800+ individuals who were diverted from prosecution to these local Community Justice Centers/Programs.

In FY15, there were 41 transitional housing programs across the state, with a total of 298 beds. There are also 6 programs that employ Housing Specialists to aid offenders in securing independent housing, and assisting them in retaining that housing for up to a year. In FY15, a total of 569 offenders were housed in transitional housing for over 78,342 bed nights (saving costly prison bed space).

CORRECTION FACILITIES - RECREATION

The recreation program provides inmates with leisure activities that are meant to promote and create a better environment as well as help to prevent idle time. Some examples of the items provided through the recreation programs include gym and cardiovascular equipment, televisions, living unit furniture, incentives, and prizes for various tournaments. This also includes a variety of programs that are important to the inmates. The recreation program is funded by the receipts from inmate commissary purchases and the inmate telephone system. The recreation fund is managed by both the Department of Corrections and inmate committees for inmate recreational opportunities. In addition,

several of the inmate boards have decided to make substantive donations to victims' activities. The Recreation fund is also used to pay for inmate postage.

CORRECTIONAL SERVICES-OUT OF STATE BEDS

Due to the current size of the sentenced and detainee populations in Vermont, additional space to house inmates is provided by correctional facilities outside the state. The Out of State population (at this time, 241+/- inmates) is currently managed by the Out of State Unit. This office coordinates the classification, casework, and movement of appropriate offenders between Vermont DOC facilities and the out of state facility located currently in Michigan.

VERMONT OFFENDER WORK PROGRAMS (VOWP)

Vermont Offender Work Programs provides industrial and vocational training programs as well as work opportunities. The Vermont Correctional Industry (VCI) shops include a print shop, a furniture manufacture and assembly shop, a license plate and sheet metal working shop, and smaller correctional industries. The program also works with the CRCF (work camp component), Caledonia and Windsor Community Work Camps, providing many surrounding communities with work service crews that perform a wide variety of reparative services.

The majority of Vermont DOC Probation and Parole Offices that provide work crews composed of offenders supervised in the community. These crews provide work service to communities across Vermont. Among many other projects, correctional community work service crews maintain all fishing access areas managed by the Department of Fish and Wildlife, while also providing support to the Vermont State Parks and several forest projects. Crews work for nearly every town in Vermont, and many of the non-profit agencies ranging from food shelves to public libraries.

Key Budget Issues:

Corrections – Administration:

The Administration of the Department of Corrections continues to face fiscal, programmatic and infrastructure challenges. Despite these challenges, the Department has used the opportunity to continue to meet its mission, while presenting a level-funded budget..

Corrections – Education:

Through education, students in the custody of the Department of Corrections obtain knowledge and job skills that they can utilize to become contributing members of their communities upon their release. These skills support the reduction of recidivism and add to the economic health of our state upon release. As educational and training needs for offenders continue to change, the CHSVT has transitioned. These shifts in business create challenges for the high school, but also opportunities. As such, CHSVT has begun to explore partnerships with voc-tech centers in an effort to better address the needs of their students.

Included in the Governor's Recommended Budget is the reduction of all community field sites. This reduction will result in the elimination of positions. As the student population has been declining over the past several years, the field sites have seen a smaller number of students. In addition, these community field sites offer services that are redundant to other services offered in the community.

Corrections – Correctional Services:

The Department of Corrections continues to review current roles and operations to maximize their potential. Included in this request are the normal increases related to regular inflationary items which impact facility operations (food and utilities), normal internal service fund and employee fringe benefit adjustments. The department continues to face staffing challenges. Overtime requirements (particularly to provide transports and supervise emergency medical trips) have put considerable pressure on the correctional facilities and have created issues with staff retention. For this reason, the department has proposed a position pilot program, with the intent of replacing overtime usage with additional classified correctional officers. The department lost several positions with the retirement incentive, and the key components of those positions were transferred to other staff. The continuation of a level-funded budget has created a need to propose reductions, which can have a significant impact on operations.

The United States Marshalls Service has increased the utilization of beds within Vermont correctional facilities. While this has some impact on increasing the number of out-of-state beds, there is also an additional source of revenue to the State. Many of the USMS inmates are Vermonters awaiting adjudication in Federal Court, as opposed to State courts.

Corrections – Recreation Fund:

This fund/program continues to benefit from a renegotiated commission structure related to commissary items. To ensure that the inmate recreation fund can continue to be self supportive, internal allocations are limited to annual fund receipts. The FCC has revised rules regarding receipts from inmate phone calls which potentially could impact nearly half of the annual receipts to this fund.

Corrections – Out-of-State Beds:

Presently there are +/-241 male inmates incarcerated outside of Vermont in a private correctional facility. This is a decrease from a high of 589 inmates in Fiscal Year 2012. This decrease is the result of the implementation of additional transitional housing, working closely with our partners, with the judiciary community, and the hard work of our staff. The percentage of inmates who have served past their sentence minimum with no housing has also decreased significantly through the efforts of DOC staff. Currently Vermont houses male inmates in Michigan. While out-of-state providers are significantly less costly than Vermont DOC prisons, these funds flow out of the state with no positive impact on the local economy.

The in-state population has been declining over the past two years, allowing the Department to significantly reduce the number of offenders housed out of state.

VOWP:

The VOWP is a self-funded operation, providing offender work and vocational training. All three divisions of VOWP (Correctional Industries, Community Restitution Service Units and Work Camps) expect stable revenues in the coming year and the Department has worked to minimize unnecessary expenses to continue increasing the solvency of the VOWP fund.

VOWP Service Impacts:

In addition to the programmatic value of preparing offenders for the work force, the VOWP adds value in several other ways. The majority of this money is spent in Vermont. All raw materials are purchased in the private sector, as are many services. Wages to offenders and VOWP staff are also spent mostly in the Vermont economy. Essentially, nearly all VOWP revenues represent an equal amount of money put into the local economy in the form of operating expenses.